

UTTAM SUGAR MILLS LIMITED

Registered Office :- Village Labberhet, Roorkhee, District -Haridwar, Uttarakhand - 247667
 Corp. Office - A-2E, IIIrd Floor, CMA Tower, Sector 24, Noida - 201 301 (Uttar Pradesh)

Email :- aramaratham_uttam@yahoo.com
 CIN:-1999991R1993PLC032518
 Website :- www.uttamsugar.in

Corporate Governance Report

1. Name of Listed Entity : Uttam Sugar Mills Limited
2. Quarter ending : 30.06.2024

I. Composition of Board of Directors

Title (Mr./ Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non- Executive/ Independent/ Nominee)	Disqualification of Directors under section 164 of the Companies Act, 2013					Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Appointment in Current Term (Date of Re- appointme nt)	Date of cessation	Tenure*	Date of Birth	No. of Directorship in listed entities including this listed entity [in reference to Regulation 17A(1)]	No. of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)]	Number of memberships in Audit/ Shareholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulation s)	No. of post of Chairperson in Audit/ Shareholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
				Whether the director is disqualifi d?	Start Date of disqualifi cation	End Date of disqualifi cation	Details of disquali fication	Current status											
Mr.	Raj Kumar Adilaha	PAN-AJZPK7896C DIN-00133256	Managing Director	No	-	-	-	-	-	28.07.1998	01.04.2024	-	-	22-01-1956	1	-	-	-	
Mr.	Shamkar Lal	PAN-AGMPS8068E DIN-09018381	Executive Director	No	-	-	-	-	-	07.01.2021	01.01.2024	-	-	10-08-1973	1	-	-	-	
Mr.	Gurbachan Singh Marta	PAN-AAEPM6860C DIN-02612602	Non-Executive Director	No	-	-	-	-	-	17.09.2021	30.09.2020	-	-	24-03-1948	1	-	2	1	
Mr.	Narendra Kumar Sawhney	PAN-AASPS825P DIN-00109853	Non-Executive Director	No	-	-	-	-	-	20.09.2019	14.05.2015	-	-	01-02-1942	1	1	2	1	
Mrs.	Ranuja Rajendra More	PAN-AHJPM3620Q DIN-07201928	Non-Executive Director	No	-	-	-	-	-	05.06.2015	20.09.2019	-	-	26-05-1969	1	1	-	-	
Mr.	Jasbir Singh	PAN-AAAPSS546F DIN-08897793	Non-Executive Director	No	-	-	-	-	-	17.09.2021	30.09.2020	-	-	20-08-1947	1	1	2	-	
Mr.	Ravi Kumar	PAN-ABVPR3865E DIN-02362615	Non-Executive Director	No	-	-	-	-	-	-	10.08.2022	10.08.2022	-	-	15-04-1961	3	3	-	-

Whether Regular Chairperson appointed

Yes

Whether Chairperson is related to managing Director or CEO

Yes

* Note: Tenure of Independent Director is calculated on the basis of period from which Independent Director is serving on the Board till the date of relevant quarter. (i.e. from the date of Appointment of Director as Independent Director appointed as per the Companies Act, 2013 till June, 2024 Quarter)



II. Composition of Committees									
Name of Committee	Whether Regular	Chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation			
1. Audit Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Mata	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Mata	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director	14.05.2015 30.09.2020 30.09.2020	- - -			
2. Nomination & Remuneration Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Mata	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Mata	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director	11.11.2017 30.09.2020 30.09.2020	- - -			
3. Risk Management committee	Yes	1. Mr. Shankar Lal Sharma (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Sanjay Bhandari	1. Mr. Shankar Lal Sharma (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Sanjay Bhandari	Executive Director Non-Executive Independent Director Chief Financial Officer	16.05.2022 16.05.2022 16.05.2022	- - -			
4. Stakeholders Relationship Committee	Yes	1. Mr. Gurbachan Singh Mata (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	1. Mr. Gurbachan Singh Mata (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	Non-Executive Non-Independent Director Non-Executive Independent Director Non-Executive Independent Director	30.09.2020 02.08.2018 30.09.2020	- - -			
III. Meeting of Board of Directors									
Date (s) of Meeting in the previous quarter	Date (s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	Total Number of Directors as on date of the meeting	No. of Directors present (all Directors including Independent Directors)	No. of Directors including Independent Directors	No. of Independent Directors attending the meeting	Maximum gap between any two consecutive (in number of days)		
31 st January, 2024	06 th May, 2024	Yes	7	7	7	4	68 days		
27 th February, 2024									
IV. Meeting of Committees									
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Total Number of Directors/Members in the committee as on date of the meeting	No. of Directors present (all Directors including Independent Directors)	No. of Independent Directors attending the meeting (other than Board of Directors)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days			
A. <u>Audit Committee</u>									
06 th May, 2024	Yes Present: 1. Mr. Narendra Kumar Sawhney 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Mata	3	3	2	31 st January, 2024	68 days			
B. <u>Nomination & Remuneration Committee</u>									
					31 st January, 2024				



C. Risk Management committee		Yes						
06 th May, 2024	<u>Present:</u> 1. Mr. Shankar Lal Sharma 2. Mr. Narendra Kumar Sawhney 3. Mr. Sanjay Bhandari	3	2	1	1	31 st January, 2024	95 days	
D. Stakeholders Relationship Committee		Yes						
06 th May, 2024	<u>Present:</u> 1. Mr. Gurbachan Singh Matta 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	3	3	2	-	31 st January, 2024	95 days	
V. Related Party Transactions								
Subject		Compliance status (Yes/No/NA)						
Whether prior approval of audit committee obtained		Yes						
Whether shareholder approval obtained for material RPT		-NA-						
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		Yes						
Note		1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.						
		2 If status is "No" details of non-compliance may be given here.						
VI. Affirmations								
1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015								
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.								
a. Audit Committee								
b. Nomination & Remuneration Committee								
c. Stakeholders Relationship Committee								
d. Risk Management Committee								
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.								
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.								
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.								
VII. Details of Cyber Security Incidence								
Whether as per Regulation 27(2) (ba) of SEBI (LODR) Regulations, 2015 there has been cybersecurity incidents or breaches, or loss of data or documents during the quarter		Yes/No		No				

