

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity:	Uttam Sugar Mills Limited	
2.	Scrp Code/Name of Scrip/Class of Security:	532729	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :04/07/2024 NSDL : 29/06/2024 CDSL : 29/06/2024



Uttam Sugar Mills Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total (calculated as per SCRR, 1957) (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Outstanding & Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)=(VII)+(X)	No. of Locked in shares (a)	As a % of total Shares held(b)	No. of Shares pledged or otherwise	As a % of total Shares held(b)	Number of equity shares held in dematerialized form
								No of Voting Rights	Class eg: X	Class eg: Y								
(I)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(ix)	(xii)	(xiii)	(xiv)			
(A)	Promoter & Promoter Group	18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	0.0000	0	0.0000	28370553		
(B)	Public	29891	9767567	0	0	9767567	25.6110	9767567	0	9767567	25.6110	0	0.0000	NA	NA	9626149		
(C)	Non Promoter - Non Public			0	0			0	0	0	0.0000	0	0.0000	NA	NA	0		
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
(C2)	Shares Held by Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total	29909	38138120	0	0	38138120	100.0000	38138120	0	38138120	100.0000	0	0.0000	0	0.0000	37996702		

GENERATED ON :04/07/2024

MSDL : 29/06/2024

CSL : 29/06/2024



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Entry Type	PAN	Nos. of shares held	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Deposits Receipts	Total nos. shares held	Shareholding % as calculated as per SCRR, 1957 as a % of (A+B+C)	Number of Voting Rights held in each class of securities			No. of Underlying Shares & convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise	Number of equity shares held in dematerialized form	
									Class eg: X	Class eg: Y	Total						
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii) = (vii)+(v)+ (vi)	(viii) as a % of (A+B+C)	(ix)	(ix)	(ix)	(x)	(xi) = (x)/(A+B+C)	(xii)	(xiii)	(xiv)	
1 Indian			12	5277439	0	0	5277439	13.8377	5277439	0	5277439	13.8377	0	0.0000	0	0.0000	5277439
(a) Individuals / Hindu Undivided Family																	
Raj Kumar Adilsha	Promoters	AJZK7899C	1	2044610	0	0	2044610	5.3611	2044610	0	2044610	5.3611	0	0.0000	0	0.0000	2044610
Ranjana Adilsha	Promoters	AATF4880E	1	1492550	0	0	1492550	3.9135	1492550	0	1492550	3.9135	0	0.0000	0	0.0000	1492550
Shomna Adilsha	Promoter Group	ABPPA3857P	1	870410	0	0	870410	2.2823	870410	0	870410	2.2823	0	0.0000	0	0.0000	870410
Ranjana Chopra	Promoter Group	AAFC12296H	1	465601	0	0	465601	1.2208	465601	0	465601	1.2208	0	0.0000	0	0.0000	465601
Rajni Babbar	Promoter Group	ACBP1027G	1	139910	0	0	139910	0.3669	139910	0	139910	0.3669	0	0.0000	0	0.0000	139910
Sunaina Suma Adilsha	Promoter Group	KCJF40059V	1	114856	0	0	114856	0.3012	114856	0	114856	0.3012	0	0.0000	0	0.0000	114856
Rajen Adilsha	Promoters	ADFA1936C	1	78088	0	0	78088	0.2048	78088	0	78088	0.2048	0	0.0000	0	0.0000	78088
Shanta	Promoter Group	AMTF58581I	1	60000	0	0	60000	0.1573	60000	0	60000	0.1573	0	0.0000	0	0.0000	60000
Ira Adilsha	Promoter Group	AAJPM4528K	1	4200	0	0	4200	0.0110	4200	0	4200	0.0110	0	0.0000	0	0.0000	4200
Raj Kumar Adilsha Huf	Promoter Group	AAQHM4573H	1	4104	0	0	4104	0.0108	4104	0	4104	0.0108	0	0.0000	0	0.0000	4104
Balram Adilsha	Promoter Group	AWTF43857F	1	3100	0	0	3100	0.0081	3100	0	3100	0.0081	0	0.0000	0	0.0000	3100
Sonia Adilsha	Promoter Group	ACFA48837E	1	10	0	0	10	0.0000	10	0	10	0.0000	0	0.0000	0	0.0000	10
Brigit Adilsha	Promoter Group	AAFP40317B	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0
Sareeba Adilsha	Promoter Group	AAFP44439G	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0
(b) Central Government / State Government(s)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)			6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	0.0000	0	0.0000	23093114
Cooperatives			6	23093114	0	0	23093114	60.5513	23093114	0	23093114	60.5513	0	0.0000	0	0.0000	23093114
Uttam Adilsha & Sons Holdings Private Limited	Promoter Group	AAACG0405E	1	16301224	0	0	16301224	42.7426	16301224	0	16301224	42.7426	0	0.0000	0	0.0000	16301224
Lipi Soles Private Limited	Promoters	AAACU1059K	1	3515000	0	0	3515000	9.2165	3515000	0	3515000	9.2165	0	0.0000	0	0.0000	3515000
Uttam Industrial Engineering Private Limited	Promoters	AAACU1074H	1	2750000	0	0	2750000	7.2106	2750000	0	2750000	7.2106	0	0.0000	0	0.0000	2750000
New Castle Finance And Leasing Pvt Ltd	Promoter Group	AAALW0386K	1	380650	0	0	380650	0.9981	380650	0	380650	0.9981	0	0.0000	0	0.0000	380650
Shreeham Sugars Limited	Promoter Group	AAACS393EL	1	145240	0	0	145240	0.3808	145240	0	145240	0.3808	0	0.0000	0	0.0000	145240
Uttam Sugarcro Limited	Promoters	AAACU4042X	1	1000	0	0	1000	0.0026	1000	0	1000	0.0026	0	0.0000	0	0.0000	1000
Sub Total (A)(1)			18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	0.0000	0	0.0000	28370553
2 Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(b) Government			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
(e) Any Other (Specify)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Sub Total (A)(2)			0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0
Total Shareholding of Promoter And Promoter Group (A)(1)+(A)(2)			18	28370553	0	0	28370553	74.3890	28370553	0	28370553	74.3890	0	0.0000	0	0.0000	28370553

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account.

No (1) PAN would not be displayed on website of Stock Exchange(s)
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Uttam Sugar Mills Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % as calculated on per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights	No. of Outstanding Shares Underlying Depository Receipts (including Warrants)	Shareholding % assuming full conversion of convertible securities (As a % of (VII)+(IX)+(A+B+C2))	Number of Locked in shares	Number of pledged or otherwise	Number of equity shares held in dematerialized form	Sub-categorization of shares
								No of Voting Rights	Class eg: X	Class eg: Y							
1 Institutions (Domestic)																	
(a) Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Alternative Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g) Asset Reconstruction Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h) Sovereign Wealth Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i) NRI/OCI registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(j) Other Financial Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(k) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
Sub Total (8)(1)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
2 Institutions (Foreign)																	
(a) Foreign Direct Investment		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(b) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c) Sovereign Wealth Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d) Foreign Portfolio Investors Category I		3	11824	0	0	11824	0.0310	11824	0	11824	0.0310	0	0.0000	NA	NA	11824	0
(e) Foreign Portfolio Investors Category II		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(f) Overseas Depositories/Holding DEPs (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h) Sub Total (8)(2)		3	11824	0	0	11824	0.0310	11824	0	11824	0.0310	0	0.0000	NA	NA	11824	0



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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as per SCBR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	No. of Outstanding & convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form	
								No of Voting Rights	Class eg: X	Class eg: Y				(a)	(b)			(a)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)		
1 Custodian/DR Holder Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	N/A	N/A	0
2 Total Non-Promoter- Non Public Shareholding (C) ¹ (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	N/A	N/A	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

GENERATED ON :04/07/2024

NSDL : 29/06/2024

CDSL : 29/06/2024



Uttam Sugar Mills Limited

Table V - Significant Beneficial Owners

Sr. No	Details of the significant beneficial owner (i)			Details of the registered owner (ii)			Details of Holding/exercise of right of the SBO in the reporting Company, Whether Direct or Indirect* (iii)				Date of creation / acquisition of significant beneficial interest (iv)	
	Name	PAN	Nationality	Name	PAN	Nationality	Shares	Voting Rights	Rights on Dividend or any other distribution	Exercise of Control		Exercise of Significant Influence
1	RAJ KUMAR ADLAKHA	AZPK7896C	Indian	RAJ KUMAR ADLAKHA	AZPK7896C	INDIAN	5.36	5.36	5.36	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AZPK7896C	Indian	UPT BOILERS PRIVATE LIMITED	AAAC1850L	INDIAN	9.22	9.22	9.22	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AZPK7896C	Indian	Raj Kumar Adakha Hud	AAAG984573H	INDIAN	0.01	0.01	0.01	No	No	17-11-2000
	RAJ KUMAR ADLAKHA	AZPK7896C	Indian	UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED	AAAC6040SE	INDIAN	42.74	42.74	42.74	No	No	17-11-2000
2	RAJ KUMAR ADLAKHA	AZPK7896C	Indian	SHUBHAM SUGARS LTD.	AAAC3598EL	INDIAN	0.38	0.38	0.38	No	No	17-11-2000
	MANJAN ADLAKHA	AAEP798800E	Indian	MANJAN ADLAKHA	AAEP798800E	INDIAN	3.91	3.91	3.91	No	No	17-11-2000
	MANJAN ADLAKHA	AAEP798800E	Indian	UTTAM INDUSTRIAL ENGINEERING PVT. LTD.	AAACU10748H	INDIAN	7.21	7.21	7.21	No	No	17-11-2000



Uttam Sugar Mills Limited

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.50
As on the end of previous 1st quarter	100.00	0.44
As on the end of previous 2nd quarter	100.00	0.53
As on the end of previous 3rd quarter	100.00	0.59
As on the end of previous 4th quarter	100.00	1.13

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

