

# UTTAM SUGAR MILLS LIMITED

Registered Office :- Village Libberheri, Roorkee, District -Haridwar, Uttarakhand – 247667

Corp. Office - A-2E, III<sup>rd</sup> Floor, CMA Tower, Sector 24, Noida – 201 301 (Uttar Pradesh)

Email :- gramarathnam\_uttam@yahoo.com

CIN:- L99999UR1993PLC032518

Website :-www.uttamsugar.in

## Corporate Governance Report

1. Name of Listed Entity : Uttam Sugar Mills Limited  
2. Quarter ending : 31.12.2020

<b>I. Composition of Board of Directors</b>														
Title (Mr./ Ms.)	Name of the Directors	PAN & DIN	Category (Chairperson/ Executive/ Non-Executive/ Independent / Nominee)	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of Appointment	Date of Re-appointment in the current term	Date of cessation	Tenure*	No. of Directorship in listed entities including this listed entity	No. of Independent Directorship in listed entities including this listed entity	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity
Mr.	Raj Kumar Adlaka	PAN-AJZPK7896C DIN-00133256	Managing Director	22-01-1956	-	-	28.07.1998	01.04.2018	-	-	1	-	-	-
Mr.	Ashok Kumar Agarwal	PAN - AAMPA1736F DIN-05199585	Executive Director	09-03-1959	-	-	14.02.2012	01.01.2018	-	-	1	-	-	-
Mr.	Narendra Kumar Sawhney	PAN-AAFPS8255P DIN-00109853	Non-Executive Independent Director	01-02-1942	Yes	20.09.2019	14.05.2015	20.09.2019	-	5 Years and 7 Months	1	1	2	1
Mrs.	Rutuja Rajendra More	PAN-AHJPM3620Q DIN-07201928	Non-Executive Independent Director	26-05-1969	-	-	05.06.2015	20.09.2019	-	5 Years 6 Months	1	1	-	-
Mr.	Gurbachan Singh Matta	PAN-AAEPM6860C DIN-02612602	Non-Executive Non-Independent Director	24-03-1948	-	-	30.09.2020	-	-	-	2	2	2	1
Mr.	Jasbir Singh	PAN- AAAPS5546F DIN-08897793	Non-Executive Independent Director	20-08-1947	-	-	30.09.2020	-	-	3 Months	1	1	2	-

Whether Regular Chairperson appointed	Yes
Whether Chairperson is related to managing Director or CEO	Yes

\* Note: Tenure of Independent Director is calculated on the basis of period from which Independent Director is serving on the Board till the date of relevant quarter. (i.e. from the date of appointment of Director as Independent Director appointed as per the Companies Act, 2013 till December Quarter.)



II. Composition of Committees					
Name of Committee	Whether Regular Chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Executive/ Independent/ Nominee)	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non-Independent Director	14.05.2015 30.09.2020 30.09.2020	- - -
2. Nomination & Remuneration Committee	Yes	1. Mr. Narendra Kumar Sawhney (Chairperson) 2. Mr. Jasbir Singh 3. Mr. Gurbachan Singh Matta	Non-Executive Independent Director Non-Executive Independent Director Non-Executive Non- Independent Director	11.11.2017 30.09.2020 30.09.2020	- - -
3. Stakeholders Relationship Committee	Yes	1. Mr. Gurbachan Singh Matta (Chairperson) 2. Mr. Narendra Kumar Sawhney 3. Mr. Jasbir Singh	Non-Executive Non-Independent Director Non-Executive Independent Director Non-Executive Independent Director	30.09.2020 02.08.2018 30.09.2020	- - -

### III. Meeting of Board of Directors

Date (s) of Meeting in the previous quarter	Date (s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met	No. of Directors Present	No. of Independent Directors Present	Maximum gap between any two consecutive (in number of days)
14 <sup>th</sup> August, 2020	28 <sup>th</sup> October, 2020	Yes	6	3	74 days

### IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	No. of Directors Present	No. of Independent Directors Present	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
A. <u>Audit Committee</u>  28 <sup>th</sup> October, 2020	Yes <b>Present:</b> 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh	3	2	14 <sup>th</sup> August, 2020	74 days
B. <u>Nomination &amp; Remuneration Committee</u>  -	Yes <b>Present:</b> 1. Mr. N.K. Sawhney 2. Mr. G. S. Matta 3. Mr. Jasbir Singh	3	2	21 <sup>st</sup> September, 2020	-
C. <u>Stakeholders Relationship Committee</u>  28 <sup>th</sup> October, 2020	Yes 1. Mr. G. S. Matta 2. Mr. N.K. Sawhney 3. Mr. Jasbir Singh	3	2	14 <sup>th</sup> August, 2020	-



V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	-NA-
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes
<b>Note</b>	
1 In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.	
2 If status is "No" details of non-compliance may be given here.	

VI. Affirmations
<ol style="list-style-type: none"> <li>1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</li> <li>2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. <ol style="list-style-type: none"> <li>a. Audit Committee</li> <li>b. Nomination &amp; Remuneration Committee</li> <li>c. Stakeholders relationship Committee</li> </ol> </li> <li>3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015.</li> <li>4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.</li> <li>5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors.</li> </ol>

FOR UTTAM SUGAR MILLS LTD.



(RAJESH GARG)

COMPANY SECRETARY & COMPLIANCE OFFICER

