

General information about company	
Scrip code	532729
NSE Symbol	UTTAMSUGAR
MSEI Symbol	
ISIN	INE786F01031
Name of the entity	UTTAM SUGAR MILLS LIMITED
Date of start of financial year	01-04-2019
Date of end of financial year	31-03-2020
Reporting Quarter	Half Yearly
Date of Report	30-09-2019
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Top 1000 listed entities

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
1	Mr	Raj Kumar Adlakha	AJZPK7896C	00133256	Executive Director	Chairperson	MD	22-01-1956	28-07-1998	01-04-2018			1	0	0	0		
2	Mr	Ashok Kumar Agarwal	AAMPA1736F	05199585	Executive Director	Not Applicable		09-03-1959	14-02-2012	01-01-2018			1	0	1	0		
3	Mr	Ramasamy Vasudevan	AACPV1125F	00109908	Non-Executive - Independent Director	Not Applicable		27-04-1938	04-01-2006	30-12-2015		57	1	1	2	1		
4	Mr	Gurbachan Singh Matta	AAEPM6860C	02612602	Non-Executive - Independent Director	Not Applicable		24-03-1948	12-09-2009	30-12-2015		57	2	2	2	1		

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
5	Mr	Narendra Kumar Sawhney	AASPS8255P	00109853	Non-Executive - Independent Director	Not Applicable		01-02-1942	14-05-2015	20-09-2019		52	1	1	2	0		
6	Mrs	Rutuja Rajendra More	AHJPM3620Q	07201928	Non-Executive - Independent Director	Not Applicable		26-05-1969	05-06-2015	20-09-2019		51	1	1	0	0		
7	Mr	Amir Singh Yadav	AAJPY5963F	08481111	Non-Executive - Nominee Director	Not Applicable		11-07-1961	07-08-2019	07-08-2019			2	0	0	0		

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson

Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02612602	Gurbachan Singh Matta	Non-Executive - Independent Director	Chairperson	31-10-2009		
2	00109908	Ramasamy Vasudevan	Non-Executive - Independent Director	Member	28-03-2007		
3	00109853	Narendra Kumar Sawhney	Non-Executive - Independent Director	Member	14-05-2015		
4	05199585	Ashok Kumar Agarwal	Executive Director	Member	30-05-2012		

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson

Yes

Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00109908	Ramasamy Vasudevan	Non-Executive - Independent Director	Chairperson	04-01-2006		
2	02612602	Gurbachan Singh Matta	Non-Executive - Independent Director	Member	18-10-2010		
3	00109853	Narendra Kumar Sawhney	Non-Executive - Independent Director	Member	11-11-2017		

Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00109908	Ramasamy Vasudevan	Non-Executive - Independent Director	Chairperson	04-01-2006		
2	02612602	Gurbachan Singh Matta	Non-Executive - Independent Director	Member	27-05-2011		
3	00109853	Narendra Kumar Sawhney	Non-Executive - Independent Director	Member	02-08-2018		

Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00133256	Raj Kumar Adlakha	Executive Director	Chairperson	14-05-2014		
2	05199585	Ashok Kumar Agarwal	Executive Director	Member	14-05-2014		
3	02612602	Gurbachan Singh Matta	Non-Executive - Independent Director	Member	14-05-2014		

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
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Annexure 1**Annexure 1****III. Meeting of Board of Directors**

Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	22-05-2019				Yes	6	4
2		07-08-2019	76		Yes	6	4
3		10-08-2019	2		Yes	6	4

Annexure 1**IV. Meeting of Committees**

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present*	No. of Independent Directors attending the meeting*
1	Audit Committee	22-05-2019				Yes		
2	Audit Committee	07-08-2019	76			Yes	4	3
3	Nomination and remuneration committee	22-05-2019				Yes		
4	Nomination and remuneration committee	07-08-2019				Yes	3	3
5	Stakeholders Relationship Committee	22-05-2019				Yes		
6	Stakeholders Relationship Committee	07-08-2019				Yes	3	3

Annexure 1**V. Related Party Transactions**

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1**VI. Affirmations**

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	G RAMARATHNAM
2	Designation	Company Secretary and Compliance Officer

Annexure III

III. Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes	
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	
Any other information to be provided				

Annexure III

1	Name of signatory	G RAMARATHNAM
2	Designation	Company Secretary and Compliance Officer

Signatory Details

Name of signatory	G.RAMARATHNAM
Designation of person	Company Secretary and Compliance Officer
Place	NOIDA
Date	15-10-2019