



UTTAM SUGAR MILLS LIMITED

[Corporate Identity Number (CIN) : L99999UR1993PLC032518]

Regd. Office: Village Libberheri, Tehsil Roorkee, District Haridwar, Uttarakhand - 247667.

Tel.: 01332 - 229445, Fax : 01332 - 229194, website : www.uttamsugar.in,

e-mail id : investorrelation@uttamsugar.in

POSTAL BALLOT FORM

Serial No. _____

1.	Name(s) of Members (s) (including joint-holders, if any) (in BLOCK LETTERS)	
2.	Registered Address of the Sole / First named Member	
3.	Registered Folio No. / DP ID No./Client ID No.* (*Applicable to investors holding shares in dematerialized form)	
4.	No. of Shares held	

5. I/We hereby exercise my/our vote in respect of the **SPECIAL RESOLUTIONS** to be passed through Postal Ballot for the business stated in the Notice of the Company dated Friday 29th August, 2014 by conveying/sending my/our assent (FOR) or dissent (AGAINST) to the said Special Resolutions by Placing tick (✓) mark in the appropriate box below:

Item No.	Description	No. of Shares held	I/We assent to the Special Resolution (FOR)	I/We dissent to the Special Resolution (AGAINST)
1.	Special Resolution under Section 180(1)(a) of the Companies Act, 2013 to create Charges, Mortgages etc. in connection with the borrowings of the Company			
2.	Special Resolution under Section 180(1)(c) of the Companies Act, 2013 for exercising the borrowing powers of the Company			

Place :

Date :

(Signature of the Shareholder)

E-mail: _____ Tel./Mobile No. _____

Note :

1. Please read the instructions/notes carefully before exercising your vote.
2. Last date for receipt of Postal Ballot Forms by Scrutinizer is Thursday, 9th October, 2014.
3. If you opt to cast your vote by e-voting system, there is no need to fill up and sign this form.

NOTES / INSTRUCTIONS

1. A member(s) desirous to exercise vote by Postal Ballot may complete this Postal Ballot Form and send it to Scrutinizer in the attached self-addressed Business Reply Envelope. Postage will be borne by the Company. Envelope containing Postal Ballot form, if deposited in person or sent by courier at the expense of the Member(s) will also be accepted.
2. The Postal Ballot Form should be completed and signed by the Member (as per the specimen signature registered with the Company/R&TA or Depository Participants, in respect of shares held in physical form or dematerialized form respectively). In case of joint holding, this form must be completed and signed by the First named Member and in his/her absence, by the next named Member.
3. The votes should be cast either in favour or against by putting the tick (✓) Mark in the column provided for assent or dissent. Postal Ballot Form bearing (✓) in both the column will render the form invalid. The assent or dissent received in any other form shall not be considered valid.
4. The self-addressed Business Reply Envelope bears the name and postal address of the Scrutinizer appointed by the Company.
5. Unsigned, incomplete or incorrectly ticked Postal Ballot Forms shall be rejected.
6. Duly completed and signed Postal Ballot Forms should be forwarded to the Scrutinizer i.e. Mr. Naveen Kumar Rastogi (CP No. 3785), M/s N. K. Rastogi & Associates, Company Secretaries, 109, Choudhary Complex, 9, Veer Savarkar Block, Shakarpur, Delhi - 110092 (email-id : naveen@nkrassociate.com) appointed by the Company so as to reach the Scrutinizer not later than the close of working hours on or before (5:00 p.m.) on Thursday, the 09th day of October, 2014. Postal Ballot Forms received after this date will be strictly treated as if the reply from such shareholder(s) has not been received.
7. In the case of shares held by the companies, trusts, societies, etc., the duly completed Postal Ballot Form should be accompanied by a certified true copy of the Board resolution/Authorization together with the specimen signature(s) of the duly authorised signatories.
8. Voting rights shall be reckoned on the paid up value of the shares registered in the name(s) of the member(s) on the cutoff date i.e., Friday, August 29, 2014.
9. A member may request for a duplicate Postal Ballot Form, if so required. However, the duly filled duplicate Postal Ballot Form should reach the Scrutinizer not later than the date and time specified hereinabove.
10. Members are requested not to send any other paper along with the Postal Ballot Form in the enclosed self-addressed Business Reply Envelope. If any extraneous papers are found, the same will be destroyed by the Scrutinizer.
11. The exercise of vote through Postal Ballot is not permitted through a Proxy.
12. There will be one Postal Ballot Form for every folio/client ID, irrespective of the number of joint holders.
13. The Scrutinizer's decision on the validity of the Postal Ballot will be final and binding.
14. The Scrutinizer will submit his final report as soon as possible after the last date of receipt of postal ballot.
15. The Company is pleased to offer e-voting facility as an alternative, for all the members of the Company to enable them to cast their votes electronically instead of dispatching Postal Ballot Form. E-voting is optional. The detailed procedure of e-voting is enumerated in the Notes to the Postal Ballot Notice.
16. Any query in relation to the resolutions proposed to be passed by Postal Ballot or request for issuance of Duplicate Postal Ballot Form may be addressed to Mr. G. Ramarathnam, Chief - Legal and Corporate Affairs & Company Secretary, A-2E, IIIrd Floor, CMA Tower, Sector-24, NOIDA (U.P.) or e-mail: at investorrelation@uttamsugar.in.