

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uttam Sugar Mills Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532729
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31.12.2016
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes *	No *
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :05/01/2017 NSDL : 31/12/2016 CDSL :31/12/2016



Uttam Sugar Mills Limited
Table I - Summary Statement holding of specified securities

Category	(i) Category of shareholder	(ii) Nos. of shareholders	(iii) No. of fully paid up equity shares held	(iv) No. of Partly paid-up equity shares held	(v) No. of shares underlying Depository Receipts	(vi) Total nos. shares held	(vii) = (vi) As a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities		(ix) Total	(x) Total as a % of (A+B+C)	(xi) Shares Underlying Outstanding convertible securities (including convertible securities)	(xii) = (xi) / (vii)	Number of Locked in Shares		Number of Shares pledged or otherwise		(xiv) Number of equity shares held in dematerialized form
								Class eg: X	Class eg: Y					As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	19	28603585	0	0	28603585	75.0000	28603585	0	28603585	75.0000	0	0	0.0000	9484170	33.157200	28603585	
(B)	Public	20110	9534535	0	0	9534535	25.0000	9534535	0	9534535	25.0000	0	0	0.0000	NA	NA	9130774	
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	NA	NA	NA	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	NA	NA	NA	
	Total	20129	38138120	0	0	38138120	100.0000	38138120	0	38138120	100.0000	0	0	0.0000	9484170	24.867900	37734359	

GENERATED ON :05/01/2017 NSDL : 31/12/2016 CDSL : 31/12/2016



Uttam Sugar Mills Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts held	Total nos. 1957 as per SCRR, 1957 as a % of (A+B+C)	Shareholding % calculated as per (A+B+C) as a % of (A+B+C+Z)	Number of Voting Rights held in each class of securities		Total as a % of securities (including convertible securities)	Ing, as a % assuming convertible securities	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of Shares As a % of total Shares held in demat form	
								Class eg: X	Class eg: Y Total						
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)		
1	Indian	13	5272204	0	0	5272204	13.8240	5272204	0	5272204	13.8240	0	3484170	66.0856	5272204
(a)	Individuals / Hindu Undivided Family														
	Raj Kumar Adalaha	AZPK7896C	1624610	0	0	1624610	4.2598	1624610	0	1624610	4.2598	0	1624610	100.0000	1624610
	Ranjan Adalaha	AAEP8800E	1492550	0	0	1492550	3.9135	1492550	0	1492550	3.9135	0	1492550	100.0000	1492550
	Shomma Adalaha	AEMFA3857P	870410	0	0	870410	2.2823	870410	0	870410	2.2823	0	870410	100.0000	870410
	Ranjana Chopra	AAFP296R	373300	0	0	373300	0.9788	373300	0	373300	0.9788	0	373300	100.0000	373300
	Rajan Adalaha	ADEPA1936C	367010	0	0	367010	0.9623	367010	0	367010	0.9623	0	367010	100.0000	367010
	Bharat Adalaha	AKPP403178	166500	0	0	166500	0.4366	166500	0	166500	0.4366	0	166500	100.0000	166500
	Sailesha Adalaha	AKPP4439G	166500	0	0	166500	0.4366	166500	0	166500	0.4366	0	166500	100.0000	166500
	Rajni Babbar	ACCPR1027G	139910	0	0	139910	0.3669	139910	0	139910	0.3669	0	139910	100.0000	139910
	Shanta	AMERS581J	60000	0	0	60000	0.1573	60000	0	60000	0.1573	0	60000	100.0000	60000
	Jai Adalaha	AXUPA5278K	4200	0	0	4200	0.0110	4200	0	4200	0.0110	0	4200	100.0000	4200
	Raj Kumar Adalaha	AAGRH4573R	4104	0	0	4104	0.0108	4104	0	4104	0.0108	0	4104	100.0000	4104
	Balram Adalaha	AWPTA3967F	3100	0	0	3100	0.0081	3100	0	3100	0.0081	0	3100	100.0000	3100
	Sonia Adalaha	ACBP48837E	10	0	0	10	0.0000	10	0	10	0.0000	0	10	100.0000	10
(b)	Central Government / State Government(s)														
(c)	Financial Institutions / Banks														
(d)	Any Other (Specify)														
	Bodies Corporate														
	Utam Adalaha & Sons Holdings Private Limited	AAACG0405E	23331381	0	0	23331381	61.1760	23331381	0	23331381	61.1760	0	6000000	25.7164	23331381
	Lipi Boliars Private Limited	AAACLI1650L	16301224	0	0	16301224	42.7426	16301224	0	16301224	42.7426	0	6000000	25.7164	23331381
	Utam Industrial Engineering Private Limited	AAACU1074B	3515000	0	0	3515000	9.2165	3515000	0	3515000	9.2165	0	83960	3515000	3515000
	New Castle Finance And Leasing Pvt Ltd	AAACN0386K	380650	0	0	380650	0.9981	380650	0	380650	0.9981	0	0	380650	380650
	Utam Surotech Limited	AAACU4042K	314267	0	0	314267	0.8240	314267	0	314267	0.8240	0	300000	95.4602	314267
	Shubhan Sugars Limited	AAAC39396L	70240	0	0	70240	0.1842	70240	0	70240	0.1842	0	0	70240	70240
	Sub Total (A)(1)		28603585	0	0	28603585	75.0000	28603585	0	28603585	75.0000	0	9484170	33.1573	28603585
2	Foreign														
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)														
(b)	Government														
(c)	Institutions														
(d)	Foreign Portfolio Investor														
(e)	Any Other (Specify)														
	Sub Total (A)(2)		0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)=		19	0	0	28603585	75.0000	28603585	0	28603585	75.0000	0	9484170	33.1573	28603585

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :
(1) PAN would not be displayed on website of Stock Exchange(s)
(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.



Utam Sugar Mills Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (A+B+C)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C)	Number of Voting Rights held in each class of securities		Total as a % of (A+B+C)	Shares Underlying Outstanding convertible securities (including securities)	Ing. as a % assuming conversion of convertible securities (XI)=	Number of Locked In Shares		Number of Shares pledged or otherwise encumbered	Number of Shares held in dematerialized form (XIV)
								Class eg: X	Class eg: Y				As a % of total Shares held(b)	As a % of total Shares held(b)		
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a % of (A+B+C)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)		
1 Institutions		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(a) Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(e) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(f) Financial Institutions / Banks		3	593973	0	0	593973	1.5574	0	0	0	1.5574	0	0	0	0	593973
Punjab National Bank	AAACPO1656		560723	0	0	560723	1.4702	0	0	0	1.4702	0	0	0	0	560723
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
Sub Total (B)(1)		3	593973	0	0	593973	1.5574	0	0	0	1.5574	0	0	0	0	593973
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
3 Non-institutions		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0	0
(a) Individuals		19273	4605393	0	0	4605393	12.0756	0	0	0	12.0756	0	0	0	0	4575332
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		31	2269293	0	0	2269293	5.9502	0	0	0	5.9502	0	0	0	0	1895793
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(c) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(d) Overseas Depositor(es)(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0
(e) Any Other (Specify)		803	2065876	0	0	2065876	5.4168	0	0	0	5.4168	0	0	0	0	2065676
Trusts		1	10	0	0	10	0.0000	0	0	0	0.0000	0	0	0	0	10
Hindu Undivided Family		259	179323	0	0	179323	0.4702	0	0	0	0.4702	0	0	0	0	179323
Non Resident Indians (Non Repat)		43	120608	0	0	120608	0.3162	0	0	0	0.3162	0	0	0	0	120608
Non Resident Indians (Repatriation)		78	60080	0	0	60080	0.1575	0	0	0	0.1575	0	0	0	0	60080
Clearing Member		187	229022	0	0	229022	0.6005	0	0	0	0.6005	0	0	0	0	229022
Bodies Corporate		235	1476833	0	0	1476833	3.8723	0	0	0	3.8723	0	0	0	0	1476833
Vedlicara Services Private Limited	AAACCV9399L		833040	0	0	833040	2.1843	0	0	0	2.1843	0	0	0	0	833040
Sub Total (B)(3)		20107	8940562	0	0	8940562	23.4426	0	0	0	23.4426	0	0	0	0	8536801
Total Public Shareholding (B)=		20110	9534535	0	0	9534535	25.0000	0	0	0	25.0000	0	0	0	0	9130774
(B)(1)+(B)(2)+(B)(3)																

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of Shareholders	No. of Shares

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held, demand/claim/expense account, voting rights which are frozen etc.



Note :
 (1) PAN would not be displayed on website of Stock Exchange(s).
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Uttam Sugar Mills Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of sharehold shares	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts held	Total nos. shares held (A+B+C)	Shareholding % as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class		Total as a % of (A+B+C)	Outstanding convertible securities (including securities)	No. of convertible securities (X)	No. of convertible securities (XI)=	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
								Class eg: X	Class eg: Y Total (IX)					No. (a)	As a % of total Shares held(b) (XII)	No. (a)	As a % of total Shares held(b) (XIII)	
1. Custodian/DR Holder	(I)	0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
2. Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C)2		0	0	0	0	0	0.0000	0	0	0	0	0	0	0	0	0	0	0

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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NSDL : 31/12/2016

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