

I(a) Statement Shareholding Pattern

Name of the Company :Uttam Sugar Mills Limited

Script Code: 532729 Symbol-UTTAMSUGAR Class of Security:EQUITY

AS ON NSDL DATA:31/12/2013 CDSL DATA: 31/12/2013

Partly Paid-up shares	No. of Partly Paid-up shares	As a % of total no. of Partly paid-up shares	As a % of total no. of shares of the Company
Held by promoter/Promoter group	0	0	0
Held by public	0	0	0
Total	0	0	0
Outstanding convertible securities	No. of Outstanding securities	As a % of total No. of Outstanding convertible securities	As a % of total No. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
Total	0	0	0
Warrants	No. of Warrants	As a % of total no. of warrants	As a % of total No. of shares of the company, assuming full conversion of the convertible Warrants
Held by promoter/Promoter group	0	0	0
Held by Public	0	0	0
Total	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities			38138120



Uttam Sugar Mills Limited

Category code	Category of Shareholder	Number of Shareholders	Total number of Shares	Number of Shares held in dematerialized form	Total Shareholding as a percentage of total number of shares		Shares pledged (or otherwise incumbered)	
					As a percentage of (A+B) (VI)	As a percentage of (A+B+C) (VII)	Number of shares (VIII)	As a percentage (IX) = (VIII)/(VI)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	PROMOTER AND PROMOTER GROUP							
[1]	INDIAN							
	INDIVIDUALS / HINDU UNDIVIDED FAMILY	13	5272204	5272204	13.82	13.82	3484170	66.09
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	BODIES CORPORATE	6	23331381	23331381	61.18	61.18	15966272	68.43
	FINANCIAL INSTITUTIONS / BANKS	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	19	28603585	28603585	75	75	19450442	68
[2]	FOREIGN							
	INDIVIDUALS (NON-RESIDENT INDIVIDUALS / FOREIGN INDIVIDUALS)	0	0	0	0	0	0	0
	BODIES CORPORATE	0	0	0	0	0	0	0
	INSTITUTIONS	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-IND	0	0	0	0	0	0	0
	ANY OTHER (SPECIFY)	0	0	0	0	0	0	0
	Sub Total	0	0	0	0	0	0	0
	Total (A)	19	28603585	28603585	75	75	19450442	68
(B)	PUBLIC SHAREHOLDING							
[1]	INSTITUTIONS							
	MUTUAL FUNDS / UTI	0	0	0	0	0	0	0
	FINANCIAL INSTITUTIONS / BANKS	1	560723	560723	1.47	1.47	0	0
	CENTRAL GOVERNMENT / STATE GOVERNMENT(S)	0	0	0	0	0	0	0
	VENTURE CAPITAL FUNDS	0	0	0	0	0	0	0
	INSURANCE COMPANIES	0	0	0	0	0	0	0
	FOREIGN INSTITUTIONAL INVESTORS	0	0	0	0	0	0	0
	FOREIGN VENTURE CAPITAL INVESTORS	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-IND	0	0	0	0	0	0	0
	Sub Total	1	560723	560723	1.47	1.47	0	0
[2]	NON-INSTITUTIONS							
	BODIES CORPORATE	271	2168785	1108698	5.69	5.69	600	0.03
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL UPTO RS. 1 LAKH.	21898	4069771	4041707	10.67	10.67	6757	0.17
	INDIVIDUAL SHAREHOLDERS HOLDING NOMINAL SHARE CAPITAL IN EXCESS OF RS. 1 LAKH	56	2650738	1834238	6.95	6.95	38445	1.45
	QUALIFIED FORE. INVESTOR-CORPORATE	0	0	0	0	0	0	0
	QUALIFIED FORE. INVESTOR-IND	0	0	0	0	0	0	0
	CLEARING MEMBER	36	29296	29296	0.08	0.08	0	0
	MARKET MAKER	0	0	0	0	0	0	0
	OFFICE BEARERS	0	0	0	0	0	0	0
	NON RESIDENT INDIANS (REPAT)	79	47072	47072	0.12	0.12	0	0
	NON RESIDENT INDIANS (NON REPAT)	27	8130	8130	0.02	0.02	0	0
	FOREIGN COMPANIES	0	0	0	0	0	0	0
	OVERSEAS BODIES CORPORATES	0	0	0	0	0	0	0
	DIRECTORS / RELATIVES	0	0	0	0	0	0	0
	PERSONS ACTING IN CONCERT	0	0	0	0	0	0	0
	GROUP COMPANIES	0	0	0	0	0	0	0
	GOVT. NOMINEES	0	0	0	0	0	0	0
	NOTIFIED PERSONS	0	0	0	0	0	0	0
	OTHER SHROFF COMPANIES	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY	0	0	0	0	0	0	0
	OTHER SHROFF FAMILY (NRI)	0	0	0	0	0	0	0
	SUBSIDIARY COMPANIES	0	0	0	0	0	0	0
	SHROFF PLEDGE	0	0	0	0	0	0	0
	FOREIGN DIRECTORS	0	0	0	0	0	0	0
	PARTNERSHIP FIRM	0	0	0	0	0	0	0
	PROPRIETARY FIRM	0	0	0	0	0	0	0
	OTHER DIRECTORS	0	0	0	0	0	0	0
	ESCROW ACCOUNT	0	0	0	0	0	0	0
	FOREFIETED SHARES	0	0	0	0	0	0	0
	FREINDS & ASSOCIATES	0	0	0	0	0	0	0
	HOLDING COMPANY	0	0	0	0	0	0	0
	TRUSTS	2	20	20	0	0	0	0
	HINDU UNDIVIDED FAMILY	0	0	0	0	0	0	0
	Sub Total	22369	8973812	7069161	23.53	23.53	45802	0.51
	Total (B)	22370	9534535	7629884	25	25	45802	0.48
	Total (A)+(B)	22389	38138120	36233469	100	100	19496244	51.12
(C)	SHARES HELD BY CUSTODIANS AND AGAINST WHICH DEPOSITORY RECEIPTS HAVE BEEN ISSUED							
	SHARES HELD BY CUSTODIANS	0	0	0	0	0	0	0
	Sub Total	0	0	0	0	0	0	0
	Total (C)	0	0	0	0	0	0	0
	Total (A)+(B)+(C)	22389	38138120	36233469	100	100	19496244	51.12



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Uttam Sugar Mills Limited

(I)(b) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY 'PROMOTER AND PROMOTER GROUP'

Sr. No.	NAME OF THE SHAREHOLDER	NO.OF SHARES HELD	TOTAL SHARES HELD AS a % of GRAND TOTAL (A)+(B)+(C)	ENCUMBERED SHARES No.	ENCUMBERED SHARES AS A PERCENTAGE	ENCUMBERED SHARES AS A % OF GRAND TOTAL (A)+(B)+(C) OF SUB-CLAUSE(I)(a)	PAN	Number of warrants held (VIII)	As a % total number of warrants of the same class (IX)	Number of convertible securities held (X)	As a % total number of convertible securities of the same class (XI)	Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital (XII)
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)						
1	UTTAM ADLAKHA & SONS HOLDINGS PRIVATE LIMITED	16301224	42.7426	9966272	61.1382	26.132	AAACG0405E					
2	UPI BOILERS LIMITED	3515000	9.2165	2950000	83.926	7.735	AAACL1650L					
3	UTTAM INDUSTRIAL ENGINEERING LIMITED	2750000	7.2106	2750000	100	7.2106	AAACU1074B					
4	RAJ KUMAR ADLAKHA	1624610	4.2598	1624610	100	4.2598	AJZPK7896C					
5	RANJAN ADLAKHA	1492550	3.9135	1492550	100	3.9135	AAEPA8800E					
6	SHOMNA ADLAKHA	870410	2.2823	0	0	0	AEMPA3857P					
7	NEW CASTLE FINANCE AND LEASING PVT LTD	380650	0.9981	0	0	0	AAACN0386K					
8	RANJANA CHOPRA	373300	0.9788	0	0	0	AAFPC2296R					
9	RAJAN ADLAKHA	367010	0.9623	367010	100	0.9623	ADEPA1936C					
10	UTTAM SUCROTECH LIMITED	314267	0.824	300000	95.4602	0.7866	AAACU4042K					
11	BHARAT ADLAKHA	166500	0.4366	0	0	0	AKPPA0317B					
12	SAJEESHA ADLAKHA	166500	0.4366	0	0	0	AKPPA4439G					
13	RAJNI BABBAR	139910	0.3669	0	0	0	ACCPB1027G					
14	SHUBHAM SUGARS LIMITED	70240	0.1842	0	0	0	AAICS3936L					
15	SHANTA	60000	0.1573	0	0	0	AMEPS8581J					
16	JAI ADLAKHA	4200	0.011	0	0	0	AXUPA5278K					
17	RAJ KUMAR ADLAKHA KARTA OF RAJ KUMAR ADLAKHA	4104	0.0108	0	0	0	AAGHR4573R					
18	BALRAM ADLAKHA	3100	0.0081	0	0	0	AWTPA3967F					
19	SONIA ADLAKHA	10	0	0	0	0	ACBPA8837E					
	Total :	28603585	75	19450442	68	50.9998						

GENERATED ON : 03/01/2014

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Uttam Sugar Mills Limited

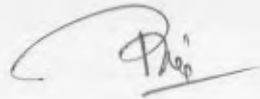
(I)(C)(i) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS BELONGING TO THE CATEGORY
'PUBLIC' AND HOLDING MORE THAN 1% OF THE TOTAL NUMBER OF SHARES

SR. NO.	NAME(S) OF THE SHAREHOLDER	NUMBER OF SHARES HELD	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W .R.T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
1	VEDICERA SERVICES PRIVATE LIMITED	566000	1.4841	AACCV8939L					
2	PUNJAB NATIONAL BANK	560723	1.4702						
	Total :	1126723	2.9543						

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Uttam Sugar Mills Limited

(I)(c)(II) STATEMENT SHOWING HOLDING OF SECURITIES (INCLUDING SHARES, WARRANTS, CONVERTIBLE SECURITIES) OF PERSONS (TOGETHER WITH PAC) BELONGING TO THE CATEGORY 'PUBLIC AND HOLDING MORE THAN 5% OF THE TOTAL NUMBER OF SHARES OF THE COMPANY

SR. NO.	NAME(S) OF THE SHAREHOLDER(S) AND THE PERSONS ACTING IN CONCERT(PAC) WITH THEM	NUMBER OF SHARES	SHARES AS A PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e. GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	PAN	NUMBER OF WARRANTS HELD	AS A % TOTAL NUMBER OF WARRANTS OF THE SAME CLASS	NUMBER OF CONVERTIBLE SECURITIES HELD	% W .R.T TOTAL NUMBER OF CONVERTIBLE SECURITIES OF THE SAME CLASS	TOTAL SHARES (INCLUDING UNDERLYING SHARES ASSUMING FULL CONVERSION OF WARRANTS AND CONVERTIBLE SECURITIES) AS A % OF DILUTED SHARE CAPITAL
	Total :	0		0					

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I (d) STATEMENT SHOWING DETAILS OF LOCKED - IN SHARES

Sr. No.	NAME OF SHAREHOLDER	NUMBER OF LOCKED-IN SHARES	LOCKED-IN SHARES AS A (%)PERCENTAGE OF TOTAL NUMBER OF SHARES (i.e., GRAND TOTAL (A)+(B)+(C) INDICATED IN STATEMENT AT PARA (I)(a) ABOVE }	Promotor/Promotor Group/Public
		0		
	Total :	0		0

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