

Statement Showing Shareholding Pattern

Name of the Company : **UTTAM SUGAR MILLS LIMITED**
 Scrip Code : **532729** NSE Symbol : **UTTAMSUGAR**
 Quarter Ended : **30-Sep-10**

Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage (IX)=(VIII)/(IV)*100
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family	13	5,673,700	4,373,870	22.02	22.02	3484170	61.41
(b)	Central Government/ State Government(s)	0	0	0	0.00	0.00	0	0.00
(c)	Bodies Corporate	6	14,443,451	14,415,451	56.05	56.05	6000000	41.54
(d)	Financial Institutions/ Banks	0	0	0	0.00	0.00	0	0.00
(e)	Any Others(Specify)	0	0	0	0.00	0.00	0	0.00
	Sub Total(A)(1)	19	20,117,151	18,789,321	78.07	78.07	9484170	47.14
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00	0	0.00
b	Bodies Corporate			0	0.00	0.00	0	0.00
c	Institutions	0	0	0	0.00	0.00	0	0.00
d	Any Others(Specify)			0	0.00	0.00	0	0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	19	20,117,151	18,789,321	78.07	78.07	9484170	47.14
(B)	Public shareholding						NA	NA
1	Institutions						NA	NA
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00		
(b)	Financial Institutions /Banks	1	560,723	560,723	2.18	2.18		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	1	42,052	42,052	0.16	0.16		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	2	602,775	602,775	2.34	2.34		
B 2	Non-institutions						NA	NA
(a)	Bodies Corporate #	434	705,506	705,506	2.74	2.74		
(b)	Individuals							
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	23,934	3,460,452	3,459,752	13.43	13.43		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	23	636,037	342,537	2.47	2.47		
(c)	Any Other							
(c-i)	Trusts	2	20	20	0.00	0.00		
(c-ii)	Non-Resident Indians	137	48,557	48,557	0.19	0.19		
(c-iii)	Clearing Members	130	87,355	87,355	0.34	0.34		
(c-iv)	Hindu Undivided Families	595	111,147	111,147	0.43	0.43		
	Sub-Total (B)(2)	25,255	5,049,074	4,754,874	19.59	19.59		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	25,257	5,651,849	5,357,649	21.93	21.93	NA	NA
	TOTAL (A)+(B)	25,276	25,769,000	24,146,970	100.00	100.00	9484170	36.80
(C)	Shares held by Custodians and against which Depository Receipts have been issued	0	0	0	0.00	0.00	NA	NA
	GRAND TOTAL (A)+(B)+(C)	25,276	25,769,000	24,146,970	100.00	100.00	9484170	36.80

(I)(b) Statement showing Shareholding of persons belonging to the category
“Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Total shares held		Shares Pledged or otherwise encumbered		
		Number	As a % of Grand Total (A)+(B)+(C)	Number	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)
1	SONIA ADLAKHA	10	0.00004	0	0	0
2	RAJAN ADLAKHA	367010	1.42423	367010	100.00	1.4242
3	KUMARI SHANTA	60000	0.23284	0	0	0
4	BALRAM ADLAKHA	193100	0.74935	0	0	0
5	RANJANA CHOPRA	373300	1.44864	0	0	0
6	RAJNI BABBAR	139910	0.54294	0	0	0
7	JAI ADLAKHA	166500	0.64613	0	0	0
8	SHOMNA ADLAKHA	870410	3.37774	0	0	0
9	RANJAN ADLAKHA	1492550	5.79204	1492550	100.00	5.79204
10	RAJ KUMAR ADLAKHA KARTA OF R.K. & SONS (HUF)	53300	0.20684	0	0	0
11	RAJ KUMAR ADLAKHA	1624610	6.30451	1624610	100.00	6.30451
12	SAIEESHA ADLAKHA	166500	0.64613	0	0	0
13	BHARAT ADLAKHA	166500	0.64613	0	0	0
14	SHUBHAM SUGARS LIMITED	28000	0.10866	0	0	0
15	UTTAM INDUSTRIAL ENGINEERING LIMITED	4652043	18.05287	2750000	59.1138	10.6717
16	UTTAM SUCROTECH LIMITED	3474267	13.48235	300000	8.6349	1.1642
17	NEW CASTLE FINANCE AND LEASING PVT LTD	2262650	8.78051	0	0	0
18	LIPI BOILERS LIMITED	3212841	12.46785	2950000	91.8191	11.4479
19	G M COLONISERS PVT LTD	813650	3.15748	0	0	0
	TOTAL	20117151	78.06726	9484170	47.1447	36.8046

(I)(c) Statement showing Shareholding of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	PUNJAB NATIONAL BANK	560723	2.17596
	TOTAL	560723	2.17596

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	* Category of Shareholders (Promoters / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	0.00
2				
3				
	TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
	TOTAL	0	0	0.00

(II)(b) Statement showing Holding of Depository Receipts (DRs), where underlying shares are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	NIL	NIL	NIL	0.00
2				0.00
3				0.00
4				0.00
5				0.00
6				0.00
7				0.00
8				0.00
9				0.00
	TOTAL	0	0	0.00